

WG - No. 20-28

**THE NATIONAL HEALTH SERVICE (WALES) ACT
2006**

**Directions to Local Health Boards as to the Statement of
Financial Entitlements (Amendment) (No. 2) Directions 2020**

Made 22 June 2020

Coming into force 23 June 2020

The Welsh Ministers in exercise of the powers conferred on them by sections 45, 203(9) and (10) and 204(1) of the National Health Service (Wales) Act 2006⁽¹⁾, and after consulting in accordance with section 45(4) of that Act with the bodies appearing to them to be representative of persons to whose remuneration these Directions relate, give the following Directions.

Title, application and commencement

1.—(1) The title of these Directions is the Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 2) Directions 2020.

(2) These Directions are given to Local Health Boards.

(3) These Directions are made on 22 June 2020 and come into force on 23 June 2020.

Amendments to the Statement of Financial Entitlements

2. The Directions to Local Health Boards as to the Statement of Financial Entitlements Directions 2013⁽²⁾ which came into force on 11 June 2013, as amended by the Directions listed in Annex J at Schedule 1 to these Directions, are further amended as follows.

Amendment of Part 2 – Quality Assurance and Improvement Framework

3. In Section 4: GENERAL PROVISIONS—

(a) after paragraph 4.8 insert—

“Aspiration Payments – QA and QI for QAIF (QA and QI) year 1 October 2020 to 30 September 2021

4.8A. The LHB must pay Aspiration Payments for the QAIF (QA and QI) year beginning with 1 October 2020 and ending with 30 September 2021 to GMS contractors at the rate the GMS contractor received under paragraph 4.8, divided into 12 instalments and paid on a monthly basis.”;

(b) in the heading before paragraph 4.9 for “2020” substitute “2021”;

(1) 2006 c.42.

(2) Directions to Local Health Boards as to the Statement of Financial Entitlements Directions 2013 (2013 No.8).

- (c) in paragraph 4.31—
 - (i) for “2019/20 QAIF cycle” substitute “QAIF (QA and QI) 2019/20 and QAIF (QA and QI) 2020/21 years combined”, and
 - (ii) in sub-paragraph (d) omit “2019/20”;
- (d) in paragraph 4.32 for “2019-20 QAIF (QA and QI) year” substitute “QAIF (QA and QI) 2019/20 and QAIF (QA and QI) 2020/21 years combined”;
- (e) in paragraph 4.33—
 - (i) omit “for the 2019-20 year”,
 - (ii) after “mandatory” insert “for the QAIF (QA and QI) 2019/20 and QAIF (QA and QI) 2020/21 years”, and
 - (iii) after “project” insert “for those years”; and
- (f) for paragraph 4.34 substitute—

“**4.34.** For each of the QAIF (QA and QI) 2019/20 and QAIF (QA and QI) 2020/21 years there will be 60 points available for contractors who undertake QI training.”.

4. In Section 5: ASPIRATION PAYMENTS: CALCULATION, PAYMENT ARRANGEMENTS AND CONDITIONS OF PAYMENTS—

- (a) after paragraph 5.1 insert—

“Aspiration Payments – QA and QI 1 October 2020 to 30 September 2021

5.1A. The LHB must pay Aspiration Payments for the period beginning with 1 October 2020 and ending with 30 September 2021 to GMS contractors at the rate the GMS contractor received under paragraph 5.1, divided into 12 instalments and paid on a monthly basis.”; and

- (b) in the heading before paragraph—
 - (i) 5.2,
 - (ii) 5.4,
 - (iii) 5.12, and
 - (iv) 5.15,
 for “2020” substitute “2021”.

5. In Section 6: ACHIEVEMENT PAYMENTS: CALCULATION, PAYMENT ARRANGEMENTS AND CONDITIONS OF PAYMENT, after paragraph 6.1 insert—

“Achievement Payments for QAIF (QA and QI) year 2019/2020

6.1A. The LHB must pay GMS contractors a payment for the QAIF (QA and QI) year beginning with 1 October 2019 and ending with 30 September 2020, the sum of which must be assessed on 30 September 2020 and calculated on the basis that the contractor has achieved all 567 points available for the QAIF (QA and QI) year 2019/20 at the value of £179 per point, with such sum paid to GMS contractors on 31 December 2020.

6.1B. The LHB must pay GMS contractors a payment for the cluster domain in respect of the period beginning with 1 April 2019 and ending with 30 September 2019 (“the transitional period”), the sum of which must be calculated on the basis of there being a maximum 200 cluster domain points available for each financial year, divided by 2 to reflect the 6 month duration of the transitional period and multiplied by 30% to reflect the 70% aspiration payment GMS contractors received for that same period. The value per point is £179 and the LHB must pay GMS contractors this payment on 30 June 2020.”.

Amendment of Part 4 – Payments for Specific Purposes

6. In Section 10: SHINGLES IMMUNISATION PROGRAMME—

- (a) in paragraph 10.2 for the words from “The” to “payment,” substitute “A contractor may claim”;
- (b) in paragraph 10.3 in the words before sub-paragraph (i) after “eligible” insert “to make a claim”;
- (c) for paragraph 10.5 substitute—

“**10.5.** A contractor may only claim up to a maximum payment of £10.03 per registered patient in any financial year under this Section.”;

- (d) in paragraph 10.8—
 - (i) in the words before sub-paragraph (a)—
 - (aa) after “A” insert “claim for”, and
 - (bb) after “payable” insert “by the LHB”, and
 - (ii) after sub-paragraph (c) insert—

“(ca) during the period beginning with 4 June 2020 and ending with 30 September 2020, the contractor must make and retain a record of a telephone call that the contractor made to the patient prior to that patient attending the practice premises on the day of their appointment to receive the vaccine, including a note of the contractor’s assessment of the patient’s wellbeing;”;

- (e) after paragraph 10.9 insert—

“Payment for the Shingles immunisation programme during the COVID-19 pandemic

10.10. For the period beginning with 25 March 2020 and ending with 30 September 2020 the LHB must pay a contractor the sum of whichever is the greater of—

- (a) the claim for payment submitted by the contractor for the quarter ending with 30 June or 30 September in accordance with this Section, or
- (b) the payment the contractor received under this Section for the quarter claimed by the contractor in sub-paragraph (a) of the financial year 2019/20, or

10.11. If no claim for payment is submitted by a contractor for either the quarter ending 30 June 2020 or 30 September 2020, the LHB must pay that contractor the sum the contractor received under this Section for the same quarter of financial year 2019/20.

10.12. At the end of the period in paragraph 10.10—

- (a) to be eligible to receive a payment, a contractor must submit a claim for payment in accordance with this Section, and
- (b) the LHB may pay a contractor under this Section only in accordance with a claim for payment made by a contractor.”.

Amendment of Annex D – Quality Assurance and Improvement Framework

7.—(1) In Part 1: Introduction—

- (a) in paragraph D.11 for “2019/20” substitute “QAIF (QA and QI) 2019/20 and 2020/21 years”, and
- (b) omit paragraph D.12.

(2) In Part 4: Quality Improvement, in paragraph 4.1, in each place it occurs, for “2019-20” substitute “QAIF (QA and QI) 2019/20 and 2020/21 years combined”.

Amendment of Annex J – Amendments

8. For Annex J substitute Annex J attached at Schedule 1 to these Directions.

A handwritten signature in black ink, appearing to read 'A Slade', is written over a light grey rectangular background.

Signed by Alex Slade, Deputy Director, Primary Care Division under the authority of the Minister for Health and Social Services, one of the Welsh Ministers

Date: 22 June 2020

SCHEDULE 1

ANNEX J – AMENDMENTS

Amendments to the Directions to Local Health Boards as to the Statement of Financial Entitlements Directions 2013 which came into force on 11 June 2013

- (a) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2013 (2013 No.60), which were made on 30 September 2013;
- (b) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2014 (2014 No.3), which were made on 16 June 2014;
- (c) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 2) Directions 2014 (2014 No.17), which were made on 27 June 2014;
- (d) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 3) Directions 2014 (2014 No.24), which were made on 30 September 2014;
- (e) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2015 (2015 No.7), which were made on 31 March 2015;
- (f) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment No 2) Directions 2015 (2015 No.14), which were made on 01 April 2015;
- (g) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment No 3) Directions 2015 (2015 No.15), which were made on 20 April 2015;
- (h) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment No 4) Directions 2015 (2015 No.19), which were made on 25 June 2015;
- (i) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No.5) Directions 2015, which were made on 30 September 2015;
- (j) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2016, which were made on 30 March 2016;
- (k) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 2) Directions 2016, which were made on 11 April 2016;
- (l) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 3) Directions 2016, which were made on 13 July 2016;
- (m) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 4) Directions 2016 (2016 No.19), which were made on 16 August 2016;
- (n) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No.5) Directions 2016 which were made on 15 December 2016;
- (o) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 6) Directions 2017 which were made on 31 January 2017;
- (p) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2017 which were made on 27 April 2017;
- (q) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No.2) Directions 2017 which were made on 9 August 2017;
- (r) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No.3) Directions 2017 which were made on the 28 September 2017;

- (s) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2018 which were made on the 14 June 2018;
- (t) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 2) Directions 2018 which were made on 19 November 2018;
- (u) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2019 which were made on 29 March 2019;
- (v) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 2) Directions 2019 which were made on 28 June 2019;
- (w) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 3) Directions 2019 which were made on 29 August 2019;
- (x) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 4) Directions 2019 which were made on 30 September 2019;
- (y) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) (No. 5) Directions 2019 which were made on 14 October 2019; and
- (z) The Directions to Local Health Boards as to the Statement of Financial Entitlements (Amendment) Directions 2020 which were made on 24 March 2020.